

## UTILITY PATENT APPLICATION: TRANSMITTAL

	l e e e e e e e e e e e e e e e e e e e	
Attomey Docket No.	ETH5101	
First Inventor	Parris Wellman	
Title	Multitool Surgical Device	
Express Mail Label No.	Ev138492259US	

nny for new nonprovisional applications under 37 CFR 1.53(b))					
APPLICATION ELEMEN	TS				

See MPEP Chapter 600 concerning utility patent application contents.

1. 🛛 F	ee Tra	ansmittal	Form	(e.g.,	PTO/S	B/17)
	(submit	an original a	and a dup	licate fo	or fee pro	cessing)

- Applicant claims small entity status. 3. X Specification [Total Pages 23]
  - (Preferred arrangement set forth below) - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4. ⊠ Drawing(s) <i>(35 USC 113)</i>	[Total Sheets15]
5. Oath or Declaration	[Total Pages

- 5. Oath or Declaration
  - a. 

    Newly unexecuted (original or copy)
  - b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)

i. DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

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Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate,	large	table	or
Computer Program (Appendix)			

8. Nucleotide and/or Amino Acid Sequence O Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF) b. Specification Sequence Listing on:
  - i. CD-ROM or CD-R (2 copies); or
  - ii. D paper
- c. Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

^		D	
9.	Assignment	Papers	(cover sheet & document(s)
<b>9</b> .	Assignment	rapeis	(cover sneet & document

- 10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
- 11. English Translation Document (if applicable)
- 12.⊠ Information Disclosure Statement
  - (IDS)/PTO-1449 Copies of IDS Citations
- 13. Preliminary Amendment
- 14. ☐ Return Receipt Postcard (MPEP 503)
  - (Should be specifically itemized)
- 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 16. Request and Certifications under 35 U.S.C. 122
  - (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☐ Other

6. Application Data Sheet. See 37 CFR 1.76

18.	. $\square$ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information	below	and in	έ
	preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:			
	Continuation  Divisional  Continuation-in-Part (CIP) of prior application No	filed		

Prior application information: Examiner Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying

continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

□ Customer Number or Bar Code Label 000027777 or □ Correspondence Address below

Philip S. Johnson, Esq. Name: Address: Johnson & Johnson

One Johnson & Johnson Plaza

New Brunswick, NJ 08933-7003 USA

#### 20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Brian S. Tomko at:

(732) 524-1239 Fax: (732) 524-2808 Telephone:

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED NAME Brian S. Tomko Reg. No. 41349 SIGNATURE

DATE October 31, 2003

### **FEE TRANSMITTAL**

Complete if Known				
Application Number				
Filing Date		-		
First Named Inventor	Parris Wellman			
Group Art Unit				
Examiner Name				
Attorney Docket Number	ETH5100			

#### **FEE CALCULATION**

#### **CLAIMS AS FILED**

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$750.00
TOTAL CLAIMS	25 - 20 =	5	x 18.00	\$ 90.00
INDEPENDENT CLAIMS	3 - 3 =	0	x 84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS		N/A	\$280.00	
		TOTAL FEES	\$ 840.00	

#### **METHOD OF PAYMENT**

- Please charge Deposit Account No. 10-0750/ETH5101/BST in the amount of \$840.00. Three copies of this sheet are enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ETH5101/BST. Three copies of this sheet are enclosed.

SUBMITTED B	Complete (if applicable)		
Typed or Printed Name	Brian S. Tomko		Reg. No. 41,349
Signature	BR	Date: October 31, 2003	Deposit Account No. 10-0750

DOCKET NO. ETH5101

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wellman et al.

For : Multitool Surgical Device

#### Express Mail Certificate

"Express Mail" mailing number: EV138492259US

Date of Deposit: October 31, 2003

I hereby certify that this complete application, including specification pages, claims, drawings, and Information Disclosure Statement/Form 1449, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A Combined Declaration and Power of Attorney will be submitted to the United States Patent and Trademark Office upon receipt of the U.S. Serial Number for this patent application.

Ceceile Solomon

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)